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**ALSTON & BIRD LLP**

101 South Tryon Street, Suite 4000  
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**DATE:** September 23, 2004

**TO: UNITED STATES PATENT AND TRADEMARK OFFICE  
ART UNIT 3624**

Andrew T. Spence  
Reg. No. 45,699

In re: Mathews et al.

Appl. No. 09/902,021

Filed July 10, 2001

Title: SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR PERFORMING A GENERALIZED  
CONTINGENT CLAIM VALUATION

Confirmation No. 9288

Art Unit: 3624

Examiner: Narayanswamy Subramanian

At the request of Examiner Subramanian, please process the attached two previously-filed PTO 1449 forms (2 pages) with two cited articles (10 pages and 2 pages), and fax confirmation of receipt to Andrew T. Spence at 704-444-1111. Thank you.

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